WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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STATE OF WISCONSIN BEFORE THE PHARMACY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY

PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

STEVEN R. SPITZER, R.PH.

THE PHARMACY STATION

RESPONDENTS.

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The parties to this action for the purposes of § 227.53, Wis. Stats., are:

Steven R. Spitzer, R.Ph. 180 Beth Court Burlington, WI 53105

The Pharmacy Station 240 East Jefferson Burlington, WI 53105

Wisconsin Pharmacy Examining Board P.O. Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

1. Respondent Steven R. Spitzer, is and was at all times relevant to the facts set forth herein a Registered Pharmacist licensed in the State of Wisconsin pursuant to license # 9158. Respondent is, and at all times relevant was, the managing pharmacist of The Pharmacy Station, 240 East Jefferson, Burlington, WI, where all of the activities mentioned in this Order took place. The Pharmacy Station is and was

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at all times relevant to the facts set forth herein a licensed pharmacy in the State of Wisconsin, pursuant to license # 6361.

2. Respondent Spitzer did, on and between 1985 and 1988, personally and through his subordinate pharmacists, fail to maintain Schedule II controlled substance prescriptions in chronological order, fail to record upon some of them the patient's address, or the physician's DEA number and address. Some Schedule II prescriptions were dispensed more than 7 days after the date of issue. Schedule II prescriptions were routinely received over the telephone and dispensed, without any bona fide emergency. Some schedule III, IV, and V prescriptions were received and dispensed without the physician's DEA number or address, and without the patient's address on the prescription. Approximately 147 prescriptions containing one or more of these violations were found by division of enforcement investigators. Respondent's Schedule V register was not complete for all sales: the purchaser was entering more information than his or her signature, the pharmacist was not signing all entries, not all sales included the date of sale, and items were not entered chronologically. Respondent was issued a notice of compliance and has ceased these violations. These violations were also committed by, and are the responsibility of, The Pharmacy Station.

CONCLUSIONS OF LAW

- 3. The Wisconsin Pharmacy Examining Board has jurisdiction to act in this matter pursuant to §450.10(1), Wis. Stats.
- 4. The Board is authorized to enter into the attached Stipulation pursuant to §227.44(5), Wis. Stats.
- 5. The conduct described in paragraph 2, above, violated §161.23(4), Wis. Stats., and §§ Phar 8.02(3)(e)2., 8.05(1) and (4), Wis. Adm. Code. Such conduct constitutes unprofessional conduct within the meaning of the Code and statutes.

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED, that the attached Stipulation is accepted.

IT IS FURTHER ORDERED, that the license of The Pharmacy Station is hereby LIMITED in that it shall, for three years commencing January 1, 1992, keep and submit to the Division of Enforcement on a quarterly basis, a log of every Schedule II controlled substance dispensed by the pharmacy pursuant to § PHAR 8.09, Wis.

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Adm. Code (or successor provision), such log to include the prescription number, dates received and dispensed, name of medication, dose, number dispensed, prescriber, pharmacist, patient's surname, and brief statement of the circumstances constituting the emergency. The first log is due in the Division's office on April 10, 1992, and shall cover the first three months of 1992, and subsequent logs are due on the 10th of the month following the end of each subsequent calendar quarter.

IT IS FURTHER ORDERED, that the license of Steven R. Spitzer is hereby LIMITED in that Steven R. Spitzer shall not receive or fill any Schedule II controlled substance prescription without a written prescription signed by a prescriber, regardless of any other circumstances. In other words, Steven R. Spitzer shall not use the authority granted to other pharmacists to fill telephoned prescriptions for Schedule II controlled substances, under § PHAR 8.09, Wis. Adm. Code.

IT IS FURTHER ORDERED, that Steven R. Spitzer, R.Ph., shall pay a civil forfeiture of \$5,000, within 90 days of this order.

IT IS FURTHER ORDERED, that Steven R. Spitzer, R.Ph., and/or The Pharmacy Station, are jointly and severally liable to pay the costs of the investigation and prosecution of this matter, in the amount of \$2,100. They and each of them shall cause this amount to be paid within 30 days of this order.

Dated this 10^{16} day of 1991.

WISCONSIN PHARMACY EXAMINING BOARD

a member of the Board

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STATE OF WISCONSIN BEFORE THE PHARMACY EXAMINING BOARD

IN THE MATTER OF

DISCIPLINARY PROCEEDINGS AGAINST

STIPULATION

STEVEN R. SPITZER, R.PH.

THE PHARMACY STATION

Respondents.

89 PHM 3

It is hereby stipulated between the above Respondent, personally on his own behalf, and the Department of Regulation and Licensing, Division of Enforcement by its undersigned attorney as follows:

- 1. This Stipulation is entered into as a result of a pending investigation of licensure of Respondent by the Division of Enforcement. Respondent consents to the resolution of this investigation by Stipulation and without the issuance of a formal complaint.
- 2. Respondent is aware and understands his rights with respect to disciplinary proceedings, including the right to a statement of the allegations against him; a right to a hearing at which time the State has the burden of proving those allegations; the right to confront and cross-examine the witnesses against him; the right to call witnesses on his behalf and to compel attendance of witnesses by subpoena; the right to testify himself; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to him under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 3. Respondent voluntarily and knowingly waives the rights set forth in paragraph 2 above, on the condition that all of the provisions of this Stipulation are approved by the Board.
- 4. Respondent is aware of his right to seek legal representation and has been provided the opportunity to seek legal advice prior to execution of this Stipulation.
- 5. With respect to the attached Final Decision and Order, Respondent admits the facts set forth in the Findings of Fact, and further agrees that the Board may reach the conclusions set forth in the Conclusions of Law, and may enter the Order.

- 6. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation or the proposed Final Decision and Order, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 7. If the Board accepts the terms of this Stipulation, the parties to this Stipulation consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties.
- 8. Respondent agrees that an attorney for the Division of Enforcement may appear at any deliberative meeting of the Board, in open or closed session, without the presence of Respondent or Respondent's attorney, with respect to this Stipulation but that appearance is limited to statements solely in support of this Stipulation, and to answering questions asked by the Board and its staff, and for no other purpose.
- 9. The Division of Enforcement joins Respondent in recommending that the Board adopt this Stipulation and issue the attached Final Decision and Order.
- 10. Respondent is informed that should the board adopt this stipulation, the board's final decision and order adopting the terms of the stipulation shall be published in the Monthly Disciplinary Report issued by the department, and a summary of the order adopting the terms of the stipulation shall be published in the Wisconsin Regulatory Digest issued semiannually by the department, all of which is standard Department policy and in no way specially directed at Respondent.

STEVEN R. SPITZER PERSONALLY, AND THE PHARMACY STATION BY:

Steven R. Spitzer, individually and as Owner

Date

Prosecuting Attorney

Division of Enforcement

Date

NOTICE OF APPEAL INFORMATION

(Notice of Rights for Rehearing r Judicial Review, the times allowed for each, and the identification of the party to be named as respondent)

The following notice is served on you as part of the final decision:

1. Rehearing.

Any person aggrieved by this order may petition for a rehearing within 20 days of the service of this decision, as provided in section 227.49 of the Wisconsin Statutes, a copy of which is attached. The 20 day period c mmences the day after personal service or mailing of this decisi n. (The date of mailing of this decision is shown below.) The petition for rehearing should be filed with The State of Wisconsin Pharmacy Examining Board.

A petition for rehearing is not a prerequisite for appeal directly to circuit court through a petition for judicial review.

2. Judicial Review.

Any person aggrieved by this decision has a right to petition for judicial review of this decision as provided in section 227.53 of the Wisconsin Statutes, a copy of which is attached. The petition should be filed in circuit court and served upon 'The State of Wisconsin Pharmacy Examining Board

within 30 days of service of this decision if there has been no petition for rehearing, or within 30 days of service of the order finally disposing of the petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30 day period commences the day after personal service or mailing of the decision or order, or the day after the final dispositi n by peration of the law of any petition for rehearing. (The date of mailing of this decision is shown below.) A petition for judicial review should be served upon, and name as the respondent, the following:

Wisconsin Pharmacy Examining Board

The date of mailing of this decision is December 12, 1991.

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